

**BEST AVAILABLE COPY****RECEIVED  
CENTRAL FAX CENTER****FEB 09 2007****HAMRE, SCHUMANN,  
MUELLER & LARSON, P.C.**

AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION

February 9, 2007

TO: Mail Stop: ISSUE FEE  
Examiner: VU  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1371US01

TELEPHONE: (612) 455.3800

Total pages, including cover letter: 23

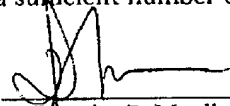
PTO FAX NUMBER: 571.273.2885

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document: **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT,  
FORM 1449, 1 REFERENCE, JAPANESE OFFICE ACTION**

Applicant: UCHIDA et al.  
Serial No.: 10/809,215  
App. Filed: March 25, 2004  
Group Art No.: 2811  
Conf. No.: 6568

**Please charge Deposit Account No. 50-3478 in the amount of \$180 for Supplemental Information Disclosure Statement.** Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Douglas P. Mueller  
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Antonette C. Peters

  
Signature

Feb 9, 2007  
Date

225 SOUTH SIXTH STREET • SUITE 2650 • MINNEAPOLIS • MN 55402  
TEL 612.455.3800 • FAX 612.455.3801  
WWW.HSML.COM • MAIL@HSML.COM

**BEST AVAILABLE COPY****RECEIVED  
CENTRAL FAX CENTER****FEB 09 2007**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: UCHIDA et al. Examiner: VU  
Serial No.: 10/809,215 Group Art Unit: 2811  
Filed: March 25, 2004 Docket: 10873.1371US01  
Title: SOLID-STATE IMAGING APPARATUS AND METHOD FOR  
PRODUCING THE SAME

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on February 9, 2007.

By: 

Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A copy of the Japanese Office Action from the corresponding Japanese application is transmitted herewith. This Office Action was mailed on January 9, 2007.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☒ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

HDESTA1 00000064 503478 10809215

180.00 DA

**BEST AVAILABLE COPY****RECEIVED  
CENTRAL FAX CENTER****FEB 09 2007****STATEMENT**

Applicants hereby state that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☒ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.Filing DateGroup

- ☒ A concise explanation of the relevance of the corresponding English language publications of some non-English language documents or other information is as follows (37 C.F.R. §1.98(a)(3)):

JP 10-257389 corresponds to US 6,037,577

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**RECEIVED**  
**CENTRAL FAX CENTER****BEST AVAILABLE COPY****FEB 09 2007****FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.

Post Office Box 2902

Minneapolis, MN 55402-0902

(612) 455-3800

Dated: February 9, 2007By: 

Douglas P. Mueller

Reg. No. 30,300

DPM:acp

**BEST AVAILABLE COPY**

Date Mailed: February 9, 2007

Sheet 1 of 1

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 10873.1371US01	Application Number: 10/809,215
	Applicant: UCHIDA et al.	
	Filing Date: March 25, 2004	Group Art Unit 2811

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 6,037,577	03/2000	Tanaka et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 10-257389	09/1998	Japan			Abstract / See IDS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

**53148**

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE